



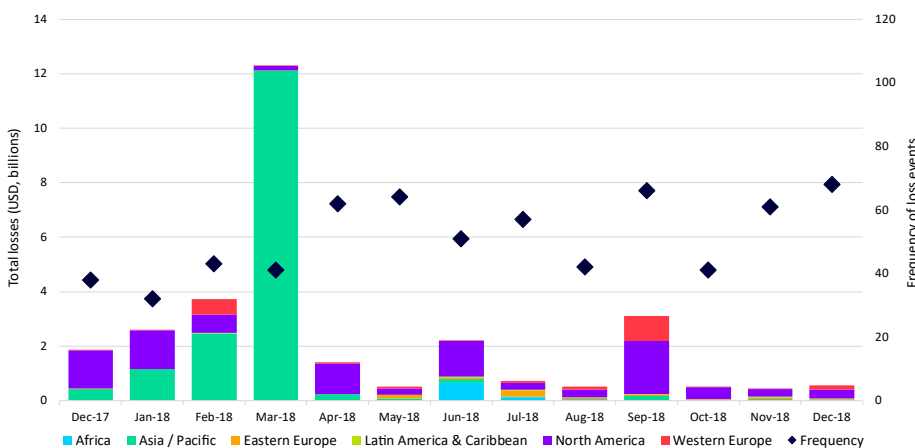
ORX News top five largest loss events: December 2018

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Rank	Loss amount	Summary	Location	Business Line	Event Type
1	JPMorgan Chase & Co. – \$135.2m	JPMorgan pays USD 135.2 million to settle SEC allegations it mishandled pre-released ADRs.	United States	BL0601 Custody Services	EL0402 Improper Business or Market Practices
2	Fortuna Bank – \$79.8m	Fortuna Bank loses UAH 2.24 billion through insider loan scheme.	Ukraine	BL0401 Commercial Banking	EL0102 Internal Theft & Fraud
3	La Poste – \$56.9m	La Banque Postale fined EUR 50 million by ACPR for serious failures in CTF programme.	France	BL0301 Retail Banking	EL0402 Improper Business or Market Practices
4	Bank of New York Mellon – \$54.2m	BNY Mellon pays USD 54.2 million to settle SEC allegations it mishandled pre-released ADRs.	United States	BL0601 Custody Services	EL0402 Improper Business or Market Practices
5	Santander Group – \$41.5m	Santander fined GBP 32.8 million by FCA for probate and bereavement process failings.	United Kingdom	BL0301 Retail Banking	EL0401 Suitability, Disclosure & Fiduciary

The operational risk year to date

Summary of distribution, frequency and severity of ORX News event digests.



ORX News

ORX News is an industry-leading provider of publicly reported operational risk loss data.

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