



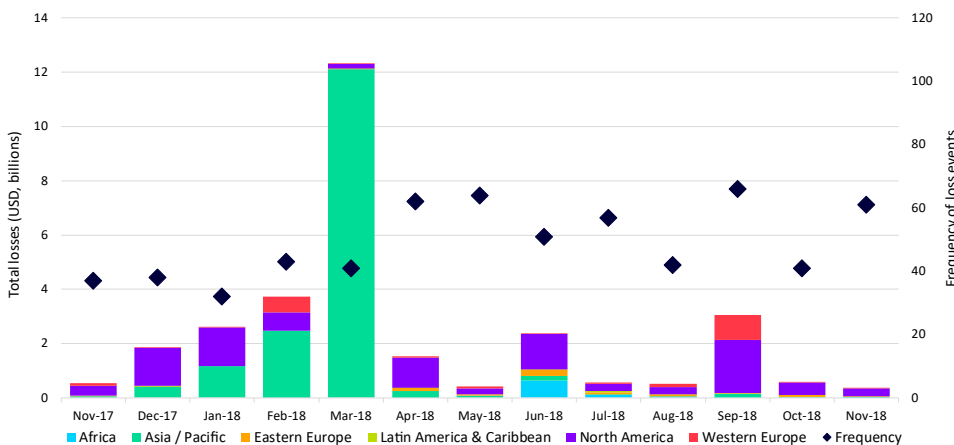
ORX News top five largest loss events: November 2018

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Rank	Loss amount	Summary	Location	Business Line	Event Type
1	Société Générale – \$95.0m	Société Générale pays EUR 95 million to NYDFS for AML and compliance deficiencies.	United States	BL0401 Commercial Banking	EL0402 Improper Business or Market Practices
2	Citigroup – \$38.8m	Citibank pays USD 38.8 million to settle SEC allegations it mishandled pre-released ADRs.	United States	BL0601 Custody Services	EL0402 Improper Business or Market Practices
3	LendingTree – \$28.7m	Home Loan Center to pay USD 28.7 million in damages due to selling bad mortgage loans.	United States	BL0301 Retail Banking	EL0401 Suitability, Disclosure & Fiduciary
4	Aetna – \$25.6m	Aetna to pay around USD 25.6 million in bad faith verdict over denied cancer treatment.	United States	BL2103 Protection Insurance	EL0401 Suitability, Disclosure & Fiduciary
5	TD Bank Group – \$18.0m	TD Bank pays USD 18 million over claims it disadvantaged TD Ameritrade in Scottrade purchase.	United States	BL0301 Retail Banking	EL0402 Improper Business or Market Practices

The operational risk year to date

Summary of distribution, frequency and severity of ORX News event digests.



ORX News

ORX News is an industry-leading provider of publicly reported operational risk loss data.

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