



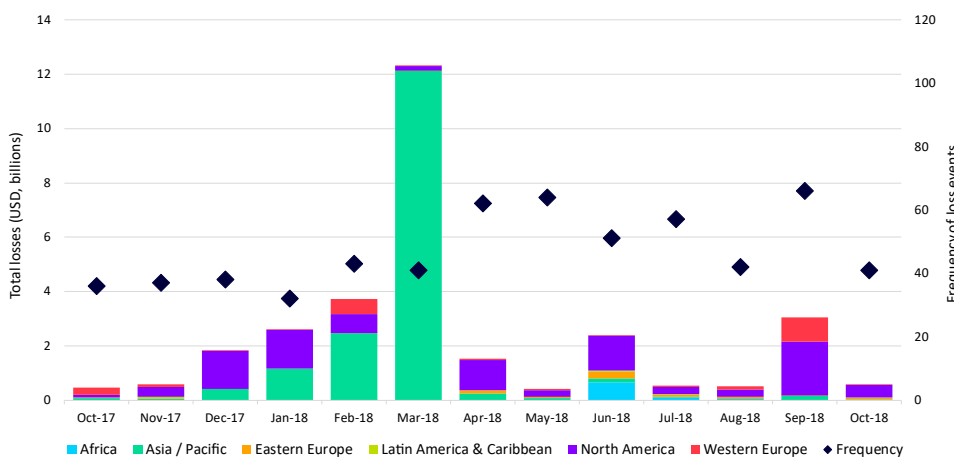
ORX News top five largest loss events: October 2018

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Rank	Loss amount	Summary	Location	Business Line	Event Type
1	Aegon – \$205m	Transamerica pays USD 205 million to settle dispute over life insurance charge increases.	United States	BL2102 Traditional Life	EL0401 Suitability, Disclosure & Fiduciary
2	Capital One – \$100m	Capital One fined USD 100 million by OCC for inadequate BSA/AML programme.	United States	BL0301 Retail Banking	EL0402 Improper Business or Market Practices
3	Mashreqbank – \$40m	Mashreqbank fined USD 40 million for AML violations regarding its US dollar clearing operations.	United States	BL0501 Cash Clearing	EL0402 Improper Business or Market Practices
4	Navy Federal Credit Union – \$24.5m	Navy Federal Credit Union to pay USD 24.5 million to settle improper overdraft fee claims.	United States	BL0301 Retail Banking	EL0401 Suitability, Disclosure & Fiduciary
5	Avant-Bank – \$21.1m	Avant-Bank employees issue UAH 602 million to affiliated companies in fraudulent loans.	Ukraine	BL0401 Commercial Banking	EL0102 Internal Theft & Fraud

The operational risk year to date

Summary of distribution, frequency and severity of ORX News event digests.



ORX News

ORX News is an industry-leading provider of publicly reported operational risk loss data.

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