



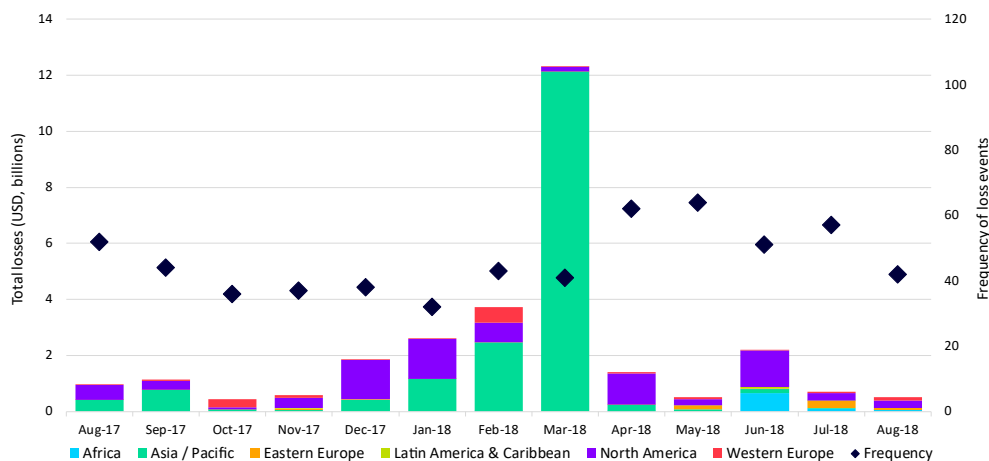
ORX News top five largest loss events: August 2018

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+44 (0)1225 430 390

Rank	Loss amount	Summary	Location	Business Line	Event Type
1	Zürcher Kantonalbank – \$98.5m	Zürcher Kantonalbank to pay USD 98.5 million over allegations it facilitated US tax evasion.	Switzerland	BL0901 Private Banking	EL0402 Improper Business or Market Practices
2	Aegon – \$97.6m	Four Transamerica entities settle for USD 97.6 million with SEC over investment model errors.	United States	BL0705 Fund Management – Client Funds	EL0403 Product Flaws
3	Citigroup – \$86.8m	Citigroup fine and losses over improper trades and mismarking total USD 86.8 million.	United States	BL0202 Global Markets	EL0101 Unauthorised Activity
4	Sudostroitelny bank – \$44.4m	Sudostroitelny Bank defrauded of RUB 3 billion through loans based on fraudulent documents.	Russian Federation	BL0401 Commercial Banking	EL0102 Internal Theft & Fraud
5	Wells Fargo & Company – \$30.0m	Wells Fargo pays USD 30 million over non-disclosure of post-payment interest on FHA loans.	United States	BL0301 Retail Banking	EL0401 Suitability, Disclosure & Fiduciary

The operational risk year to date

Summary of distribution, frequency and severity of ORX News event digests.



ORX News

ORX News is an industry-leading provider of publicly reported operational risk loss data.

For information about subscribing to ORX News, or to arrange a free trial, contact Roland Kennett:

roland.kennett@ork.org
+44 (0)1225 430392